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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/076,100

02/15/2002

Karl Stangl

740123-414

NIXON PEABODY, LLP 8180 GREENSBORO DRIVE SUITE 800

**MCLEAN, VA 22102** 



**CONFIRMATION NO. 8550** 

OC000000007623359\*

COPY OF PAPERS ORIGINALLY FILED

Date Mailed: 03/12/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/076,100 Filing Date 02/15/2002 (to be used for First Named Inventor iitial filing) Karl STANGL et al. Group Art Unit 1722 **Examiner Name** Unknown Total Number of Pages Attorney Docket Number 740123-414 ENCLOSURES (check all that apply) Fee Transmittal Form After Allowance Communication to Group Assignment Papers (for an Application) Application Data Sheet Fee Attached Drawing(s) Amendment / Reply Declaration and Power of Attorney After Final Licensing-related Papers Petition Affidavits/declaration(s) Petition to Convert to a Provisional Extension of Time Request Application Express Abandonment Request Power of Attorney, Revocation Change of Correspondence Address Information Disclosure Statement Terminal Disclaimer Certified Copy of Priority Request for Refund Document(s) CD, Number of CD(s) X Response to Missing Parts/ Remarks Incomplete Application The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the Response to Missing Parts above identified docket number. under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm David S. Safran, Reg. No. 27,997 Nixon Peabody LLP Individual name 8180 Greensboro Drive Suite 800 McLean, VA Signature May 13, 2002 Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: May 13, 2002 K.M. McManus Type or printed name Date May 13, 2002 Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual

case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (11-00 Approved for use through 10/31/2002. OMB 0651-0032

## FEE TRANSMITTA **FOR FY 2002**

Complete if Known Application Number 10/076,100 O<sub>O</sub> Filin Date 02/15/2002 Fire lamed Inventor Karl STANGL et al miner Name Unknown roup Art Unit 1722

Patent fees are subject to annual revision. (\$)910.00 Attorney Docket No. TOTAL AMOUNT OF PAYMENT 740123-414 FEE CALCULATION (continued) METHOD OF PAYMENT **ADDITIONAL FEES** 1. X The Commissioner is hereby authorized to charge indicated Large Small fees and credit any overpayments to: Entity Entity Fee Fee Fee Fee Deposit Fee Paid Fee Description 19-2380(740123-414) Code (\$) Code (\$) Number 130.00 105 130 205 65 Surcharge - late filing fee or oath 25 Surcharge - late provisional filing fee or cover sheet 50 227 127 Deposit Nixon Peabody LLP Account 130 Non-English transaction 139 130 139 Name 2,520 For filing a request for ex parte reexamination 147 147 2.520  $\square$ Charge Any Additional Fee Required 920\* Requesting publication of SIR prior to Examiner action 920\* 112 112 Under 37 CFR 1.16 and 1.17 1,840\* Requesting publication of SIR after Examiner action 113 1.840\* Applicant claims small entity status 55 Extension for reply within first month 110 215 See 37 CFR 1:27 400 200 Extension for reply within second month 216 116 2. 🗷 Payment Enclosed: 217 460 Extension for reply within third month 117 920 Other Check Credit Card Money 720 Extension for reply within fourth month 218 118 1.440 Order Extension for reply within fifth month FEE CALCULATION 128 1.960 228 Notice of Appeal 119 320 219 1. BASIC FILING FEE 160 Filing a brief in support of an appeal 120 320 220 Large Entity Small Entity Fee Description Fee Fee Fee Fee 140 Request for oral hearing 280 221 121 Fee Paid Code (\$) Code (\$) 1,510 Petition to institute a public use proceeding 1.510 138 740.00 138 101 740 201 370 Utility filing fee 55 Petition to revive - unavoidable 140 110 240 Design filing fee 106 330 206 165 640 Petition to revive - unintentional 141 1,280 241 107 510 207 255 Plant filing fee 640 Utility issue fee (or reissue) 142 1,280 242 370 Reissue filing fee 108 740 208 460 243 230 Design issue fee 143 Provisional filing fee 160 214 80 114 620 244 310 Plant issue fee 144 مين 122 130 122 130 Petitions to the Commissioner SUBTOTAL (1) (\$)740.00 50 Processing fee under 37 CR 1.17(q) 50 123 123 2. EXTRA CLAIM FEES 180 Submission of Information Disclosure Stmt 126 180 126 Fee from Extra Claims below 40 581 40 Recording each patent assignment per property (times 40.00 0 X 18. 581 Total Claims -20\*\* number of properties) 0 X 84. 146 740 246 Filing a submission after final rejection (37 CFR Independent 8 1.129(a)) Claims For each additional invention to be examined (37 CFR 149 740 249 240. Multiple Dependent § 1.29(b)) 740 279 370 Request for Continued Examination (RCE) Large Entity Small Entity Fee Description Fee Fee Fee Fee 900 Request for expedited examination of a design 900 169 (\$) Code Code (\$) application 203 Claims in excess of 20 103 18 Other fee (specify) 102 84 202 42 Independent claims in excess of 3 Multiple dependent claim, if not paid 104 280 204 140 SUBTOTAL (3) (\$)170.00 \* Reduced by Basic Filing Fee Paid 109 84 209 42 Reissue independent claims over original patent CERTIFICATE OF MAILING \*\* Reissue claims in excess of 20 and 110 210 I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on over original patent SUBTOTAL (2) (\$)0 \*\*or number previously paid, if greater; For Reissues, see above

| SUBMITTED BY      |                 |                                   | Complete (if applicable) |              |              |
|-------------------|-----------------|-----------------------------------|--------------------------|--------------|--------------|
| Name (Print/Type) | David S. Safran | Registration No. (Attorney/Agent) | 27,997                   | Telephone    | 703-770-9300 |
| Signature (MACA)  |                 |                                   | Date                     | May 13, 2002 |              |